FORM PTO-1390 (REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 056291-5285

## APPLICATION NO.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

A second copy of the published international application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2004/004650 November 4, 2004 November 7, 2003 TITLE OF INVENTION SUBSTITUTED PIPERIDINES FOR THE TREATMENT OF METABOLIC SYNDROME APPLICANT(S) FOR DO/EO/US Peter John BARTON, Roger John BUTLIN, and Janet Elizabeth PEASE Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The U.S. has been elected by the expiration of 19 months from the priority date (Article 31). 4.  $\boxtimes$ 5 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the international Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 冈 7. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11, to 20, below concern document(s) or information included:  $\boxtimes$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and PTO-1449 with 10 listed documents and 10 documents provided. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. 15. A substitute specification. 16.  $\square$ A change of power of attorney and/or address letter. 17. П A computer readable form of the sequence listing in accordance with PCT Rule.

Other items or information:

18. 19. 

20.

IAP20 Rec'd PCT/PTO 05 MAY 2006

US APPLICATION NO (If known seed GFR) 5314 INTERNATIONAL APPLICATION NO. PCT/GB2004/004650				ATTORNEY'S DOCKET NUMBER 056291-5285				
21. The following fees are submitted:				CALCULATIONS PTO USE ONLY				
BASIC NATIONAL FEE (37 CFR 1.492 (A) (1) - (5)): \$300.00								
Examination Fee								
If International preliminary examination report prepared by USPTO and all claims								
satisfy provisions of PCT Article 33(1)-(4)								
All other situations\$200.00								
⊠ Search Fee:								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to								
the USPTO as an International Searching Authority								
International Search Report prepared and provided to the Office								
An onici situations								
TOTAL of 21, 22 and 23:								
Additional fee for specification and drawings filed in paper over 100 sheets								
(excluding sequence listing or computer program listing filed in an electronic								
medium), The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.								
	Forting Chapter - Normalism	of each additional 50 or	frantian D. mm					
Total Sheets		ound UP to a whole num	TOTAL D					
-100 =	/50 =		\$250.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h))					\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total Claims	34 -20 =	14	x \$50	1		70	0.00	· -
Independent Claims	2-3 =	0	x \$200	1				
						36	0.00	
TOTAL OF ABOVE CALCULATIONS					\$	1,96	0.00	
							0.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees reduced by 1/2.					- \$			
SUBTOTAL =					\$	1,96	0.00	
Processing fee of \$130.00 for furnishing the English Translation later than 30 months from the								
earliest claimed priority date (37 CFR 1.492(i)).					+	1.04		
TOTAL NATIONAL FEE					\$	1,960	0.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be					\$	40	0.00	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). x \$40.00 per property					-			
TOTAL FEES ENCLOSED					\$	2,000	0.00	
					Amount to be refunded:			
charged: \$2,000.00  A check in the amount of \$0.00 to cover the above fees is enclosed								
☐ Please charge Deposit Account No. 50-0310 to cover the above fees.								
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0310.								
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
22.  Other instructions								
22.   Outer instructions								
22 M All assurance	damas famalis amulisation a	hauld be maded to						
23. All correspondence for this application should be mailed to .  MORGAN, LEWIS & BOCKIUS LLP								
		009629						
24 🕅 411 4-11			_					
24 🖾 All telephone	inquiries should be made to	204134.3400	)					
Donald J. Bird 25,323 May 5, 2006								
NAME	SIGNATU	the Man	25,323 REG. NO.			DATE	000	